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| 09/669,298         09/25/2000         Saleem Ahmad         HA0744 NP         2733           23914         7590         02/03/2003         EXAMINER           STEPHEN B. DAVIS         EXAMINER           BRISTOL-MYERS SQUIBB COMPANY         LIU, HONG           PATENT DEPARTMENT         LIU, HONG           PO BOX 4000         ART UNIT         PAPER NUMBER | PLICATION NO. FILING DATE    | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO. | CONFIRMATION NO. |
|---|------------------------------|----------------------|---------------------|------------------|
| STEPHEN B. DAVIS  BRISTOL-MYERS SQUIBB COMPANY PATENT DEPARTMENT P O BOX 4000 PRINCETON, NJ 08543-4000  EXAMINER  LIU, HONG  ART UNIT PAPER NUMBER  | 09/669,298 09/25/2000        | Saleem Ahmad         | HA0744 NP           | 2733             |
| BRISTOL-MYERS SQUIBB COMPANY PATENT DEPARTMENT P O BOX 4000 PRINCETON, NJ 08543-4000  ART UNIT PAPER NUMBER   | 23914 7590 02/03/2003        |                      |                     |                  |
| PATENT DEPARTMENT P O BOX 4000 PRINCETON, NJ 08543-4000  ART UNIT PAPER NUMBER  | BRISTOL-MYERS SQUIBB COMPANY |                      | EXAMINER            |                  |
| PRINCETON, NJ 08543-4000 ART UNIT PAPER NUMBER  |                              |                      | LIU, HONG           |                  |
| PRINCETON, NJ 08543-4000  |                              |                      | ARTINIT             | PAPER NUMBER     |
|   | FRINCETON, NJ 08343-4000     |                      | 1624                | 17               |

Please find below and/or attached an Office communication concerning this application or proceeding.

|   | Application        | on No.                   | Applicant(s)                                       |  |  |
|---|--------------------|--------------------------|--|--|--|
|   | 09/669,29          | 8                        | AHMAD ET AL.                                       |  |  |
| Office Action Summary   | Examiner           |                          | Art Unit   |  |  |
|   | Hong. Liu          |                          | 1624   |  |  |
| The MAILING DATE of this communication app<br>Period for Reply  | ears on the        | cover sheet with the c   | orrespondenc address                               |  |  |
| A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.  - Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.  - If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.  - If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.  - Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133).  - Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b). |                    |                          |  |  |  |
| 1) Responsive to communication(s) filed on 13 €   | December 2         | 2002 .                   |  |  |  |
| 2a)⊠ This action is <b>FINAL</b> . 2b)□ Thi   |                    |                          |  |  |  |
| 3) Since this application is in condition for allowatelessed in accordance with the practice under a  | nce excep          | t for formal matters, pr |  |  |  |
| Disposition of Claims   | ex parto <b>Q</b>  | auyio, 1000 0.5. 11, 1   | 00 0.0. 210.                                       |  |  |
| 4) Claim(s) 1-3,6,8,14,15,17,19,20,22,24-31 and   | <u>63-74</u> is/aı | e pending in the applic  | cation.  |  |  |
| 4a) Of the above claim(s) is/are withdrawn from consideration.  |                    |                          |  |  |  |
| 5) Claim(s) <u>28-30 and 63</u> is/are allowed.   |                    |                          |  |  |  |
| 6) Claim(s) <u>1-3,8,15,17,19,22,24,31,64,66,70,71</u>  | <u>and 73</u> is/a | re rejected.             |  |  |  |
| 7) Claim(s) <u>6,9,10,14,25-27,65,67,68,72 and 74</u> is  | s/are objec        | ted to.                  |  |  |  |
| 8) Claim(s) are subject to restriction and/or   | r election re      | equirement.              |  |  |  |
| Application Papers  |                    |                          |  |  |  |
| 9) The specification is objected to by the Examiner   |                    | chicated to by the Ever  | ninor  |  |  |
| 10) The drawing(s) filed on is/are: a) accepted or b) objected to by the Examiner.  Applicant may not request that any objection to the drawing(s) be held in abovence. See 37 CER 1.85(a)  |                    |                          |  |  |  |
| Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  11) The proposed drawing correction filed on is: a) approved b) disapproved by the Examiner.   |                    |                          |  |  |  |
| If approved, corrected drawings are required in rep   |                    | . ,— ,,                  |  |  |  |
| 12) The oath or declaration is objected to by the Ex  | •                  |                          |  |  |  |
| Priority under 35 U.S.C. §§ 119 and 120   |                    |                          |  |  |  |
| 13) Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).   |                    |                          |  |  |  |
| a) All b) Some * c) None of:  |                    |                          |  |  |  |
| 1. Certified copies of the priority documents have been received.   |                    |                          |  |  |  |
| 2. Certified copies of the priority documents have been received in Application No  |                    |                          |  |  |  |
| <ul> <li>3. Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).</li> <li>* See the attached detailed Office action for a list of the certified copies not received.</li> </ul>   |                    |                          |  |  |  |
| 14) Acknowledgment is made of a claim for domestic priority under 35 U.S.C. § 119(e) (to a provisional application).  |                    |                          |  |  |  |
| a) ☐ The translation of the foreign language provisional application has been received. 15)☐ Acknowledgment is made of a claim for domestic priority under 35 U.S.C. §§ 120 and/or 121.   |                    |                          |  |  |  |
| Attachment(s)   |                    |                          |  |  |  |
| 1) Notice of References Cited (PTO-892) 2) Notice of Draftsperson's Patent Drawing Review (PTO-948) 3) Information Disclosure Statement(s) (PTO-1449) Paper No(s)   | ·                  |                          | (PTO-413) Paper No(s) Patent Application (PTO-152) |  |  |

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## **DETAILED ACTION**

Claims 1-3, 6, 8-10, 14, 15, 17, 19, 20, 22, 24-31, and 63-74 are pending in this application.

This action is in response to the applicants' amendment and reply filed on December 13, 2002.

# Response to Arguments

Applicants' arguments filed on December 13, 2002 have been fully considered. Applicants' amendment of the claims to limit the definition of Z to 5- or 6-membered nitrogencontaining monocyclic heteroaryl group is noted and the amendment is deemed to be sufficient to overcome the 102(b) rejection applied in the previous office action. In view of applicants' election of species of Example 313 in the response dated August 20, 2001, the application will continue to be examined according to the practice of election of species. Applicants are reminded of MPEP 803.02, which states;

"As an example, in the case of an application with a Markush -type claims drawn to the compound C-R, wherein R is a radical selected from the group consisting of A, B, C, D, and E, the examiner may require a provisional election of a single species, CA, CB, CC, CD, or CE. The Markush-type claim would then be examined fully with respect to the elected species and any species and any species considered to be clearly unpatentable over the elected species. If on examination the elected species is found to be anticipated or rendered obvious by prior art, the

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Markush-type claim and claims to the elected species shall be rejected, and claims to the nonelected species would be held withdrawn form further consideration. As in the prevailing practice, a second action on the rejected claims would be made final." (Emphasis added).

# Claim Rejections - 35 USC § 102

The rejections to claims under 35 U.S.C. 102(b) in the previous office are hereby withdrawn in view of applicants' amendment of Z and R1.

Claims 1-3, 8, 15, 17, 19, 22, 24, 31, 64, 70, 71, 73, and 66 are rejected under 35 U.S.C. 102(b) as being anticipated by Farina et al., Chem Abstract 125:96333. The instantly claimed compounds read on the reference compound, see the enclosed copy of CAPLUS computer search report and the compounds.

## Claim Rejections - 35 USC § 112

The rejection to claims under 35 U.S.C. 112, first paragraph, is hereby withdrawn in view of applicants' amendment.

The rejection of #2) 35 U.S.C. 112, second paragraph, is maintained. What should have been rejected is not the word "comprising" but "including" which appears in the last line of claim 1.

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#### Conclusion

1. Applicant's amendment necessitated the new ground(s) of rejection presented in this Office action. Accordingly, **THIS ACTION IS MADE FINAL.** See MPEP § 706.07(a). Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire THREE MONTHS from the mailing date of this action. In the event a first reply is filed within TWO MONTHS of the mailing date of this final action and the advisory action is not mailed until after the end of the THREE-MONTH shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the date of this final action.

Any inquiry concerning this communication should be directed to Examiner Hong Liu whose telephone number is (703) 306-5814. The examiner can normally be reached on Monday through Friday from 8:30 AM to 6:00 PM. If attempts to reach the examiner by the phone are unsuccessful, the examiner's supervisor, Mukund Shah can be reached at (703) 308-4716. The fax phone number for this group is (703) 308-4734 for "unofficial" purposes and the actual number for **official** business is (703) 308-4556. Any inquiry of a general nature or relating to the status of this application or proceeding should be directed to the Group receptionist whose number is (703) 308-1235.

hl

January 28, 2003

Mukund Shah

**Supervisory Patent Examiner** 

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JOHN M. FORD
PRIMARY EXAMINER
GROUP - ART UNIT // 24